FAU

Docket No. TDP-5004-USNP

THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Tianbao Lu, et al.

Serial No.: 10/829,040

Art Unit: 1624

Filed

: April 21, 2004

Examiner: Bruck Kifle

For

: Substituted 1,4-diazepines and Uses Thereof

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner Por Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on

January 18, 2006
(Date of Deposit)

Laura A. Donnelly (Name of applicant, assignee, or Registered Representative)

/Laura A. Donnelly/
(Signature)

January 18, 2006
(Date of Signature)

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MAIL STOP GROUP ART UNIT 1624 Commissioner for Patents P.O. Box 1450 Washington, D.C. 20231

FIRST SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

This copy is supplemental to the Information Disclosure Statement mailed on September 13, 2004.

Pursuant to 37 C.F.R. §1.56 and in accordance with 37 C.F.R. §§1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 C.F.R. §1.56(b).

Applicant(s) reserve(s) the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this

information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

This statement should not be construed as a representation that a search has been made, or that information more material to the examination of the present patent application does not exist.

Disclosure Statement is being filed either within three months of the filing date of the above-identified national application (other than a continued prosecution application under \$1.53(d)), within three months of the date of entry into the national stage of the above identified application as set forth in \$1.491, or before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of a request for continued examination under \$1.114, no additional fee is required.

∐ In a	ccordance with §1.129(a), this information
Disclosure Stat	tement is being filed in connection with \square the
first or 🗌 seco	ond After Final Submission, therefore:
	Statement in Accordance with §1.97(e)
	(attached); or
	Please charge Deposit Account No. 10-
	0750/ / the fee of $$180.00$ as set forth
	in §1.17(p).

In accordance with §1.97(c), this Information
Disclosure Statement is being filed after the period set forth
in §1.97(b) above but before the mailing date of either a Final
Action under §1.113 or a Notice of Allowance under §1.311, or
an action that otherwise closes prosecution and that it is
accompanied by one of:

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		in §1.17(p).
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under §1	.311	but before the payment of the Issue Fee.
Applicant	(s) h	ereby petition(s) for consideration of this
Informati	on Dia	sclosure Statement. Included are: Statement in
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\$180.00 a	s set	forth in §1.17(p).
\boxtimes	Copi	es of each of the references listed on the
	_	PTO-1449 are enclosed herewith.
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	Copi	es of references listed on the attached Form PTC
1449 are	enclo	sed herewith EXCEPT THAT:
		In view of the voluminous nature of references
		[list as appropriate], and the likelihood that
		these references are available to the Examiner,
		copies are not enclosed herewith.
	_	
	Ш	If any of the foregoing publications are not
		available to the Examiner, Applicant will
		endeavor to supply copies at the Examiner's
		request.
	Con!	on of only foreign notons decompose and and
	_	es of only foreign patent documents and non-
	terat	ure are enclosed in accordance with 37 CFR 1.98
(a)(2)		

There are no listed	references which are not in the
English language.	
The relevance of the	ose listed references which are
not in the English language is	as follows:
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Attached are the fol	llowing non-published pending
patent applications which may	be deemed relevant, which are
listed on the attached Submiss	sion Under MPEP 609 D.
Please charge any deficie	ency or credit any overpayment to
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submitted in triplicate.	
-	Respectfully submitted,
,	/Laura A. Donnelly/
	· ·
	Laura A. Donnelly
	Reg. No. 38,435
	Attorney for Applicants

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Respectfully submitted	ι,
/Laura A. Donnelly/	
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Laura A. Donnelly	•
Reg. No. 38,435 Attorney for Applicant	· C
Accorney for Applicant	. D

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Res	pectfully submitted,
/Lau	ra A. Donnelly/
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Lau	ra A. Donnelly
Reg	. No. 38,435
Att	orney for Applicants

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DATED: January 18, 2006



PTC/SB/08A (08-00)
Approved for use through 10/31/2002. OMB 0651-0031
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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary) Sheet 1 of 1

a collection of information unless it displays a valid OMB control number.				
Application Number	10/829,040			
Filing Date	April 21, 2004			
First Named Inventor	Tianbao Lu			
Group Art Unit	1624			
Examiner Name	Bruck Kifle			
Attorney Docket Number	TDP-5004-USNP			

		OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner's Initials*	nitials* No.1 publisher, city and/or country where published				
		Hulme et al. "Improved Procedure for the Solution Phase Preparation of 1,4-Benzodiazepine-2,5-dione Libraries via Armstrong's Convertible Isonitrile and the Ugi Reaction, J. Org. Chem, 1998, vol. 63, pages 8021-8023.			

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l	Signature		Considered	•
ı	Examiner		Date	

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¹ Unique citation designation number, 2 Applicant is to place a check mark here if English language Translation is attached.



Application Number
Filing Date
First Named Inventor
Group Art Unit
Examiner Name
Attorney Docket Number 10/829,040 April 21, 2004 Tianbao Lu 1624 Bruck Kifle TDP-5004-USNP

Page 1 of 1

			U.S. Patent Docum	nent	
Examiner Initials	Cite No.1	Name of Patentee or Applicant of Cited Document	Number	Kind Code ² (if known)	Pages, Columns, Lines, where relevant passages or relevant figures appear
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FOREIGN PATENT DOCUMENTS

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Examiner 's Initials*	Cite No.1	Include name of the author (in C title of the item (book, magazine volume-issue number(s	e, journal, se	erial, symp	osium, catalo	g, etc.), date, page(s),	T²
		International Search Report dated Octo	ber 20, 2005	for Internati	onal Appin. No	. PCT/US04/12240	
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